



## ACTIVATION / DEACTIVATION OF AN ALGO FUNCTIONALITY (Form 08/MT)

Please note that we can only accept duly completed and legible forms with the signature of the responsible person.

To **Member**  
(name and address)

Ljubljana Stock Exchange  
Slovenska cesta 56  
1000 Ljubljana  
Slovenia

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\_\_\_\_\_

<input type="checkbox"/> Appointment/Activation	<input type="checkbox"/> Cancellation/Deactivation	<b>Xetra T7<sup>®</sup></b> <b>Member ID:</b>	1	2	3	4	5
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<p><b>Appointment / Activation</b></p> <p>We hereby submit an application for permission of using an ALGO functionality in trading system Xetra T7<sup>®</sup> (connection to all Ljubljana Stock Exchange markets):</p> <ul style="list-style-type: none"> <li>• to manage orders,</li> <li>• for high-frequency trading,</li> <li>• to receive market data.</li> </ul> <p>ALGO functionality in Xetra T7<sup>®</sup> for all Ljubljana Stock Exchange markets will be established via:</p> <ul style="list-style-type: none"> <li>• CEESEG FIX,</li> <li>• ETS,</li> <li>• other _____.</li> </ul>	<p><b>Cancellation / Deactivation</b></p> <p>We hereby submit an application for the deactivation of an ALGO functionality on all Ljubljana Stock Exchange markets .</p>
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**Data about ALGO functionality**

ALGO functionality ID \_\_\_\_\_

ALGO functionality name \_\_\_\_\_

Responsible person \_\_\_\_\_

ALGO functionality trading strategy \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

With this application for the use of an ALGO functionality we assume full responsibility to abide by the legislation, the Exchange Rules and Instructions in respect of algorithmic trading, and we confirm our operations are adequately organised. We also ensure:

- that algorithmic trading was tested as to its operations and its technical and functional compliance with the Exchange trading system, in accordance with Article 79 of the Rules;
- that we have a »kill« functionality policy in place;
- that in the event of an upgrade of an ALGO functionality and in the event of an upgrade of the Exchange's trading system, we will test the operations of an ALGO functionality again in the simulation environment of the trading system;
- that in the event of a major change to our trading strategy, or a major update of the ALGO functionality programming code, or major changes to the trading system, we will test the ALGO functionality technical and functional compliance again and reapply for its activation.



6 7 8 9 10 11

USER - ID						SIGNATURE OF MEMBER'S RESPONSIBLE PERSON

All changes have to be communicated to the Ljubljana Stock Exchange without delay!

**1. MANDATORY COMPLETION, if you have an activated trader supervisor:**

The above listed user ID was entered / deleted (**circle**) from the Xetra T7® trading system by trader supervisor and we ask the Exchange to appropriately activate / deactivate it.

**2. MANDATORY COMPLETION, if you do not have an activated trader supervisor:**

We hereby authorise the Exchange to enter /delete (**CIRCLE**) from the Xetra T7® trading system the above listed user ID and we ask the Exchange to appropriately activate / deactivate it. In case of entry of user ID, **MARKING** of non-default rights to be assigned to the user ID(s) by the Exchange is **MANDATORY**:

<b>User level (default setting)</b>	
<b>Head trader/user</b>	Can monitor, change, delete his/her orders and orders of member firm's other users
<b>Securities Groups (default setting)</b>	
<b>Trading with securities from all groups is allowed</b>	
<b>Block trading (default setting)</b>	
<b>Trading with securities from all groups is allowed</b>	
<b>Accounts (označite:)</b>	
<input type="checkbox"/> <b>A</b>	Agent account
<input type="checkbox"/> <b>P</b>	Proprietary account
<input type="checkbox"/> <b>M</b>	Liquidity provider account
<b>Maximum order value (complete):</b>	
<b>Maximum order quantity (complete):</b>	

Date as of which this form becomes valid: \_\_\_\_\_

Place, date

Signature of member's responsible person

EFFECTIVE UNTIL TERMINATED